



## THE REGIONAL MUNICIPALITY OF PEEL

### AUDIT AND RISK COMMITTEE

#### MINUTES

ARC - 3/2018

The Region of Peel Audit and Risk Committee met on June 7, 2018 at 1:00 p.m., in the Regional Council Chambers, 5th Floor, Regional Administrative Headquarters, 10 Peel Centre Drive, Suite A, Brampton, ON.

**Members Present:** C. Fonseca; F. Dale; K. Ras; A. Thompson

**Members Absent:** R. Starr due to personal matter, G. Miles, due to other municipal business

**Also Present:** D. Szwarc, Chief Administrative Officer; S. VanOfwegen, Commissioner of Finance and Chief Financial Officer and Acting Commissioner of Digital and Information Services; M. Killeavy, Acting Commissioner of Corporate Services; P. O'Connor, Regional Solicitor; J. Smith, Commissioner of Public Works; N. Polsinelli, Commissioner of Health Services; J. Sheehy, Commissioner of Human Services; M. Morris, Director, Enterprise Risk and Audit Services; K. Lockyer, Regional Clerk and Director of Clerks; J. Sawchuck, Acting Director of Human Resources; J. Jones, Committee Clerk; D. Obaseki, Legislative Assistant

*Chaired by Chris Fonseca.*

1. **DECLARATIONS OF CONFLICTS OF INTEREST - Nil**

2. **APPROVAL OF AGENDA**

RECOMMENDATION ARC-5-2018:

That the agenda for the June 7, 2018 Audit and Risk Committee meeting, be approved.

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\* See text for arrivals

◆ See text for departures

**3. DELEGATIONS - Nil**

**4. REPORTS**

**4.1. Region of Peel Corporate Risk Profile**

Presentation by Michelle Morris, Director, Enterprise Risk and Audit Services and Anila Lalani, Advisor, Enterprise Risk Management

Received

RECOMMENDATION ARC-6-2018:

That the Region of Peel Corporate Risk Profile as described within the body of the report of the Director, Enterprise Risk and Audit Services, titled "Region of Peel Corporate Risk Profile", be endorsed;

And further, that the subject report and presentation be referred to the June 28, 2018 Regional Council meeting for approval.

In response to a question from Councillor Ras with regard to the possibility of including a bottom-up risk assessment process, Michelle Morris stated that it is the goal of the enterprise risk management process to be bottom-up and top-down. This report addressed top-down and Enterprise Risk and Audit will now work with staff to deliver on the bottom-up process. David Szwarc, CAO stated that one of the ways the Region will deliver on the bottom-up process is that most reports coming forward will include a risk commentary and therefore report recommendations will be provided within the risk profile that has been identified.

**4.2. Status of Management Action Plans**

Presentation by Michelle Morris, Director, Enterprise Risk and Audit Services and Jennifer Weinman, Manager, Enterprise Audit Services

Received

In response to a question from Councillor Ras with regard to consideration of financial risk within the three identified risk categories of governance, service delivery and technology, Michelle Morris clarified that the three categories do not include financial risk.

**4.3. Audit and Risk Committee Public Member Participation**

RECOMMENDATION ARC-7-2018:

That up to two members of the public be included in the Region of Peel's Audit and Risk Committee membership, as outlined in the report of the Director, Enterprise Risk and Audit Services titled 'Audit and Risk Committee Public Member Participation', effective the 2018 term of Regional Council;

And further, that the Audit and Risk Committee Charter dated July 2015 be replaced by the Audit and Risk Committee Charter as contained in Appendix III of the subject Report, effective the 2018 term of Regional Council.

In response to a comment from Councillor Ras with regard to coordination of the Region's Audit and Risk Committee recruitment with the City of Mississauga's committee recruitment advertisements in January 2019, Michelle Morris responded that the suggestion would be taken under consideration.

**5. COMMUNICATIONS - Nil**

**6. IN CAMERA MATTERS - Nil**

**7. OTHER BUSINESS - Nil**

**8. NEXT MEETING**

The next meeting of the Audit and Risk Committee is scheduled for September 20, 2018 at 11:00 a.m. to 12:30 p.m. in the Council Chamber, 5th floor, Regional Administrative Headquarters, Suite A, 10 Peel Centre Drive, Brampton, Ontario.

Please forward regrets to Jill Jones, Committee Clerk, and (905) 791-7800 ext. 4330 or at [jill.jones@peelregion.ca](mailto:jill.jones@peelregion.ca).

**9. ADJOURNMENT**

The meeting adjourned at 1:22 p.m.